

MAYOR-COUNCIL ACT

CITY OF BIRMINGHAM (AL)

HB515 & THE MAYOR-COUNCIL ACT (as amended)

ACT #2016077

- 1 HB515
- 2 177539-3
- 3 By Representative Robinson (N & P)
- 4 RFD: Jefferson County Legislation
- 5 First Read: 07-APR-16



1 2 ENROLLED, An Act, Relating to any Class 1 municipality; to amend 3 Sections 45-37A-52.55, 45-37A-52.56, 45-37A-52.59, 4 45-37A-52.95, 45-37A-52.128, 45-37A-52.134, 45-37A-52.198, 5 45-37A-52.200, and 45-37A-52.270 of the Code of Alabama 1975, 6 7 relating to the mayor-council form of government; to further provide for the powers and duties of the mayor and the 8 council. 9 BE IT ENACTED BY THE LEGISLATURE OF ALABAMA: 10 11 Section 1. Sections 45-37A-52.55, 45-37A-52.56, 45-37A-52.59, 45-37A-52.95, 45-37A-52.128, 45-37A-52.134, 12 45-37A-52.198, 45-37A-52.200, and 45-37A-52.270 of the Code of 13 Alabama 1975, are amended to read as follows: 14 "§45-37A-52.55. 15 "The At the first meeting, which shall be held 16 immediately following the effective date of the act adding 17 this amendatory language, and then subsequently at the first 18 meeting held after the election of the council after the 19 effective date of the act adding this amendatory language and 20 every two years thereafter, the council shall elect an officer 21 of the city who shall have the title of president of the 22 council and shall preside at meetings of the council. The 23 council-shall-also elect and a president pro tem, who shall 24 act as president of the council during the absence or 25

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disability of the president. The terms of office of the 1 president and the president pro tem shall be two years and 2 until the council members shall qualify following the next 3 succeeding biennial election successors are elected and 4 v qualified. If a vacancy shall occur in the office of president 5 of the council, the council shall elect a successor for the 6 completion of the unexpired term. Both the president of the 7 council and the president pro tem shall be elected from among 8 the council members. 9 "\$45-37A-52.56. 10 "All Except those powers specifically granted by 11 this part to the mayor or as otherwise granted to the mayor by 12 law, all powers of the city, including all powers vested in it 13 by this part, by the laws, general and local, of the state, 14 and the determination of all matters of policy, shall be 15 vested in the council. Without limitation of the foregoing, 16 the council shall have power to: 17 "(1) Establish administrative departments and 18 distribute the work of divisions. 19 "(2) (1) Adopt the budget of the city. 20 "(3) (2) Authorize the issuance of bonds or 21 22 warrants. "(4) (3) Inquire into the conduct of any office, 23 department, or agency of the city and make investigations as 24 to municipal affairs. 25

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"(4) (5) Appoint Except as otherwise provided by law 1 or this amendatory act, appoint the members of all boards, 2 commissions, or other bodies authorized hereunder or by law. 3 This provision for appointment of members of boards, Δ commissions, or other bodies authorized hereunder or by law 5 shall supersede any different provision for appointment of 6 such members contained in any statute or ordinance in effect 7 at the time of adoption by the city of the mayor-council form 8 of government set up by this part, and shall include power to 9 remove any member of any board, commission, or body to the 10 same extent as might be done by the governing body of the city 11 at the time of adoption by the city of the mayor-council form 12 of government set up by this part and to appoint another in 13 his or her stead. And wherever in any statute in effect at the 14 time of adoption by the city of the mayor-council form of 15 government the chief executive officer of the city is 16 designated to act in any capacity ex officio, the mayor shall 17 act. This subdivision shall not override the express terms of 18 the Lewis Spratt Act, Subpart 1, commencing with Section 19 45-37A-50, Part 1 of this article. 20

21 "(6)(5) Succeed to all the powers, rights, and 22 privileges conferred upon the former governing body of the 23 city by statutes in effect at the time of adoption by the city 24 of the mayor-council form of government and not in conflict 25 with this part.

"(7)<u>(</u>6) Levy property and license taxes and local improvement assessments.

"(8)(7) Employ for and on behalf of the city such 3 employees as the council may deem necessary to assist the 4 council and perform such duties relating to the work of the 5 council as the president of the council may assign. Each such 6 7 employee shall serve at the pleasure of the council at such 8 compensation as the council may set, and shall not be under any merit or civil service system. Each such employee shall by 9 reason of such employment become a member of the pension 10 system covering the general employees of such city, if there 11 be such a system, to the same extent and in the same manner as 12 other general employees of the city; provided, application for 13 14 such inclusion in such pension system be made to the board or other authority administering such pension system within 30 15 days of May 31, 1984, or, thereafter, within 30 days of their 16 appointment. If no such application be made within such time, 17 18 such council employees shall not hereafter be a member of such 19 system.

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"\$45-37A-52.59.

"The Upon the written approval of the mayor, the
council by ordinance may create, change, and abolish offices,
departments, or agencies, other than the offices, departments,
and agencies established by this part. The Upon the written
approval of the mayor, the council by ordinance may assign

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1 additional functions or duties to offices, departments, or agencies established by this part, but may not discontinue or 2 assign to any other office, department, or agency any function 3 or duty assigned by this part to a particular office, Δ department, or agency. 5

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"\$45-37A-52.95.

"The mayor shall be the head of the administrative 7 branch of the city government. The mayor shall not sit with 8 the council nor shall he or she have a vote in its proceedings 9 and he or she shall have the power and duties herein 10 conferred. The mayor shall be responsible for the proper 11 administration of all affairs of the city and, subject to any 12 civil service or merit system law applicable to such city and 13 except as otherwise provided herein, he or she shall have 14 power and shall be required to: 15

"(1) Enforce all law and ordinances.

"(2) Appoint and, when necessary for the good of the 17 service, remove all officers and employees of the city except 18 as otherwise provided by this part and except as he or she may 19 authorize the head of a department or office to appoint and 20 remove subordinates in such department or office; provided 21 that he or she shall not appoint or remove officers and 22 employees of: 23 -"a. Any library board of the-city.

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"b. a. Any board of the city having control over any 1 park, recreation facility, fair, or exhibit, or any library 2 3 board of the city. "c. b. Any municipally-owned public utility, 4 5 including electric, gas, and water systems, while such utility is operating under a board constituted by law or required by 6 the terms of any indenture, mortgage, or deed of trust 7 providing for employment by other authority. 8 9 "d. c. Any nonelected school board of the city. 10 "e. d. Any planning board or zoning board of the 11 city. "(3) Exercise administrative supervision and control 12 over all departments created by this part or by law or 13 hereafter created by the council except those enumerated in 14 paragraphs a. to e. <u>d.</u>, inclusive, of subdivision (2) and 15 except those otherwise given independent status under this 16 17 part. "(4) Shall keep the council fully advised as to the 18 financial conditions and needs of the city; prepare and submit 19 the budget annually to the council and be responsible for its 20 administration after its adoption; prepare and submit, as of 21 the end of the fiscal year, a complete report on the financial 22 and administrative activities of the city for such year and 23 24 prepare a detailed report within 10 days of the council's

request except the mayor shall have an extension of five 1 additional days if the information is not readily available. 2 "(5) Recommend to the council such actions as he or 3 she may deem desirable. 4 "(6) Prepare and submit to the council such reports 5 as may be required of him or her. 6 "(7) Perform such other duties as may be prescribed 7 by this part. 8 "(8) Fix the salaries or compensation of all 9 officers and employees of the city who are appointable by him 10 or her, subject, however, to any civil service or merit law 11 applicable to the city. 12 "(9) Employ as members of his or her staff such 13 employees as the mayor may deem necessary for and on behalf of 14 the city to assist the mayor and perform such duties relating 15 to the mayor as the mayor may assign. Each such employee shall 16 serve at the pleasure of the mayor for such compensation as 17 the mayor may set. Such staff members shall not be under any 18 merit or civil service system, but, should a member of the 19 classified service under any merit or civil service system 20 applicable to the city be appointed hereunder, the provisions 21 of this section notwithstanding, he or she may be paid at the 22 salary established for his or her classification at the time 23 of appointment and such person shall not lose any rights under 24 such merit or civil service system by reason of his or her 25

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appointment hereunder, and shall, upon termination of service 1 on the mayor's staff, have the right to return to the 2 classified service, with full credit for time served on the 3 mayor's staff, at the same or higher classification as that 4 held upon appointment hereunder. Each such employee shall by 5 reason of such employment become a member of the pension 6 system covering the general employees of the city, if there be 7 8 such a system, to the same extent and in the same manner as other general employees of the city, provided such employee 9 apply to the board or other authority administering such 10 pension system within 30 days of appointment; otherwise, such 11 employee shall not be a member of the system. This section 12 shall not limit the authority of the mayor to appoint other 13 staff under the merit system or as otherwise authorized by 14 law. No person employed in one of these staff positions shall 15 run for elected office while so employed. 16 "(10) Retain the services of outside counsel and 17 other professional services, to the extent that it is in the 18 budget, and will not impact the budget, to meet the needs of 19 the city when, in the opinion of the mayor, such action would 20 21 best serve the interests of the city. 22 "\$45-37A-52.128. 23 "After the conclusion of the public hearing, the council, by an affirmative vote of five members and only if 24 approved in writing by the mayor, may insert new items of 25

expenditures or may increase, decrease, or strike out items of 1 expenditure in the general fund budget, except that no item of 2 expenditure for debt service, or any other item required to be 3 included by this part or other provision of law, shall be Δ reduced or stricken out. The council shall not alter the 5 estimates of receipts contained in the budget except to 6 correct omissions or mathematical errors and it shall not 7 cause the total of expenditures as recommended by the mayor to 8 be increased without a public hearing on such increase, which 9 shall be held not less than three days after notice thereof by 10 publication in a newspaper of general circulation published in 11 the city. The council shall in no event adopt a general fund 12 budget in which the total of expenditures exceeds the receipts 13 and available surplus, estimated as provided in Section 14 45-37A-52.123, unless at the same time it adopts measures for 15 providing additional revenue in the ensuing fiscal year, 16 estimated as provided in Sections 45-37A-52.121 and 17 45-37A-52.124, sufficient to make up the difference. 18 "\$45-37A-52.134. 19

20 "Appropriations in addition to those contained in 21 the original general fund budget ordinance, may be made by the 22 council by not less than five affirmative votes, but only on 23 the recommendation of the mayor <u>in writing, copies of which he</u> 24 <u>or she shall provide to the director of finance,</u> and only if 25 the director of finance certifies in writing that there is

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available in the general fund a sum unencumbered and 1 2 unappropriated sufficient to meet such appropriation. Additional appropriations may be made by the council, by not 3 4 less than five affirmative votes, from the funds of any utility for the operation of that utility, but only if the 5 director of finance certifies in writing that there is 6 available in the funds of the utility, a sum unencumbered and 7 unappropriated sufficient to meet such appropriation. 8 9 "§45-37A-52.198. 10 "All Except as expressly provided by this part, all 11 laws and parts of laws relating to establishment of an authority for fairgrounds, parks, exhibits, exhibitions, and 12 other installations, facilities, and places for the amusement, 13 entertainment, recreation, and cultural development of the 14 citizens of a city, and for the powers, authority, mode of 15 financing, and conduct of the same, contained in the general 16 or local laws of the state or in Title 62 of the Code of 17 Alabama of 1940, as amended, as the same may apply and be in 18 effect with respect to any city at the time when such city 19 shall elect to be governed by this part, shall continue in 20 full force and effect, and without interruption or change as 21 22 to the establishment or conduct of any authority created thereunder, after adoption of the mayor-council form of 23 24 government by such city. 25 "\$45-37A-52.200.

1	"All To the extent consistent with the terms of this
2	part, all ordinances and resolutions of the city in effect at
3	the time of adoption by the city of the mayor-council form of
4	government herein set up shall continue in effect unless and
5	until changed or repealed by the council. <u>To the extent any</u>
6	current or future ordinance or resolution of the city is
7	inconsistent with the express terms of this part, the
8	ordinance or resolution shall be void and of no effect to the
9	extent of its inconsistency.
10	"\$45-37A-52.270.
11	"All Except as otherwise provided by this part, all
12	laws and parts of laws, general, local, or special, relating
13	to or affecting the city, its powers, functions, duties, and
14	property, in force on September 9, 1955, are hereby continued
15	in effect; but all such laws relating to the exercise of
16	powers, functions, and duties by the commission or
17	council-manager or some other form of government shall be
18	superseded to the extent that the same are inconsistent with
19	this part."
20	Section 2. This act shall become effective
21	immediately following its passage and approval by the
22	Governor, or its otherwise becoming law.

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HB515 1 2 zaf 3 4 Speaker of the House of Representatives 5 6 President and Presiding Officer of the Senate 7 House of Representatives I hereby certify that the within Act originated in 8 9 and was passed by the House 20-APR-16. 10 11 Jeff Woodard 12 Clerk 13 14 15 Senate 04-MAY-16 Amended and Passed Concurred in Sen-16 House 04-MAY-16 ate Amendment 17

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APPROVED TIME GO OR

Alabama Secretary Of State

Act Num....: 2016-277 Bill Num...: H-515 Recv'd 05/05/16 11:59amSLF

PART 1 - The Mayor-Council Act

Editorial Note: This part codifies the provisions of the Mayor-Council Act of 1955, adopted by the legislature of Alabama on September 9, 1955, as Act No. 452. The following sections codify Act No. 452 as amended.

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ARTICLE I Adoption of Mayor-Council Form of Government; Election and Term of Council

Sec. 1.01 (§45-37A-52) Cities to which act applies.

Any city in the State of Alabama, which has a population of more than 300,000, according to the last federal census, or which may hereafter have such population according to any federal or municipal census that may be taken hereafter, may adopt the mayor-council form of government by proceeding in the manner hereinafter in this act provided. (Act No. 452, 9/9/55, amended by Act No. 131, 7/7/65, Sec. 1)

Sec. 1.02 (§45-37A-52.01) Petition for election.

The filing of a petition signed by 10 percent or more of the qualified electors of such city, asking that the proposition of the adoption of the mayor-council form of government for such city be submitted to the qualified voters thereof, with the judge of probate of the county in which such city is located, shall mandatorily require an election to be held as herein provided. Whenever such a petition purporting to be signed by at least 10 percent of the qualified voters of such city shall be presented to such judge of probate, he shall examine such petition and determine whether or not the same is signed by at least 10 percent of the qualified voters of such city, and if such petition is signed by the requisite number of voters to require such an election, he shall within 15 days from the receipt of such petition certify such fact to the mayor or other chief executive officer of the city for which such election is so petitioned, and the certificate of the judge of probate as to the sufficiency of said petition shall be final.

Sec. 1.03 (§45-37A-52.02) Call of election by mayor.

The mayor or other chief executive officer of such city shall immediately upon receipt of such certificate from the probate judge, by proclamation, submit the question of the

adoption of the mayor-council form of government for such city, under this act, at a special election to be held at a time specified in such proclamation, not less than 40 days and not more than 60 days after the receipt of said certificate from said probate judge, unless a general or regular election is to be held within 90 days after receipt of such certificate, in which event the special election herein provided for shall be held at the same time as such general or regular election. Should the election not be called by proclamation within 10 days after receipt of his certificate, the judge of probate shall call such election by order at a time specified therein but not less than 40 days and not more than 60 days after the receipt by said mayor or other chief executive officer of the said certificate of the probate judge.

Sec. 1.04 (§45-37A-52.03) Second election not called within two years.

If the mayor-council form of government is not adopted at the special election so called, the question of adopting such form of government shall not be resubmitted to the voters of such city for adoption within two (2) years thereafter, and then the question of adopting said form of government may be resubmitted in the manner above provided.

Sec. 1.05 (§45-37A-52.04) Proposition submitted; form of ballot.

At such election the proposition to be submitted shall be printed in plain prominent type on ballots separate and distinct from ballots used for any other office or question, and shall read as follows:

"Shall the may	or-council form of government,	as provided by the M	lavor-Council
Act of 1955, be	adopted for the City of	?	
"Yes			
"No	**		

The voter shall mark his ballot with a cross mark before or after the word which expresses his choice. No other proposition shall be submitted to the voters of such city upon this ballot. If voting machines are used at any voting place in such election, the above proposition may at the discretion of the election commission or other body or official having charge of the conduct of municipal elections in such city, be submitted as a separate proposition on voting machines so used.

Sec. 1.06 (§45-37A-52.05) Conduct, canvassing and declaration of result of election.

The election thereupon shall be conducted, the vote canvassed and the result declared in the same manner as provided by law in respect to other city elections in such city. If the majority of the votes shall be "yes" or in favor of such proposition, the provisions of this act shall thereby be adopted for such city, and the election commission or other canvassing board or official shall transmit to the governor, to the secretary of state, to the judge of probate of the county and to the chief executive officer of the city, a certificate stating that such proposition was adopted by such city.

Sec. 1.07 (§45-37A-52.06) Election of first council and first mayor; term of office.

Immediately upon the adoption of such form of government, the probate judge of the county with whom the petition was filed shall call an election to be held under and to be

governed by this act not less than 90 days nor more than 120 days after the date of such call, the expense thereof to be paid by such city, for the election at large of nine (9) councilmen and a mayor by the qualified voters of such city. The nine (9) candidates for the council receiving a majority of the votes cast in said election shall be elected to the council; and in the event that nine (9) candidates should fail to receive such a majority, then and in that event those candidates for the council receiving a majority shall be elected, and another election shall be held upon the same day of the week four (4) weeks thereafter to be called and held in the same mode and manner and under the same rules and regulations. In the second election there shall be two (2) candidates for each place upon the council to be filled in such second election; and these candidates shall be the ones who received the highest number of votes but who were not elected at the first election. The candidate or candidates for the council receiving the highest number of votes cast in the second election shall be elected, so that in the first and second elections only nine (9) councilmen shall be elected. The terms of office of such candidates so elected shall commence immediately upon their election and qualification. The four (4) such councilmen so elected receiving the largest number of votes in the first election, if at least four (4) councilmen are elected thereat, shall hold office until the second Tuesday in November of that year ending in an odd number which would give them a term of office most closely approximating four (4) years and until their successors are elected and qualify. If four (4) councilmen are not elected at the first election, then those who are elected at such second election and the councilmen receiving the highest vote at the second election sufficient to bring the total number of such councilmen to four (4), shall hold office as provided in the preceding sentence. The remaining five (5) such councilmen so elected shall hold office until the second Tuesday in November of that year ending in an odd number which would give them a term of office most closely approximating two (2) years and until their successors are elected and qualify. The candidate for mayor receiving the largest number of votes for the office at the first election thereto, provided such candidate receives a majority of all votes cast for such office. If at the first election no candidate received a majority of the votes cast for the office of mayor at such election, then another election shall be held upon the same day of the week four (4) weeks thereafter to be called and held in the same mode and manner and under the same rules and regulations. In the second election there shall be two (2) candidates for the office of mayor; and these candidates shall be the two (2) who received the highest number of votes for said office at the first election. In the election of the first council and first mayor and all subsequent elections held under the provisions of this act, no vote shall be permitted by writing in a person's name on the ballot and, further, no name shall appear upon the ballot as a candidate for election except the names of such persons as have become candidates according to the provisions of this act. (Act No. 452, Sec. 1.07, as amended by Act No. 275, 8/8/73, Sec. 1)

Sec. 1.08 (§45-37A-52.07) The council.

The councilmen provided for in this article shall be known collectively as the council of the City of (name of said city to be inserted) and shall have the powers and duties hereinafter provided. The councilmen first elected shall qualify and take office in the manner hereinafter prescribed on the second Monday following the date the election of all nine (9) councilmen is completed, and thereupon such city shall at that time and thereby be and become organized under the mayor-council form of government provided under this act, and shall thereafter be governed by the provisions of this act.

ARTICLE II Legal Status; Form of Government; Powers

Sec. 2.01 (§45-37A-52.30) Legal status.

Any such city which adopts the mayor-council form of government shall continue its existence as a body corporate under the name of "City of " (inserting the name of such city). The word "city" as hereinafter used shall mean and refer to any city which has adopted the mayor-council form of government. The city shall continue as a municipal corporation, within the corporate limits as then established, and as thereafter fixed in the manner prescribed by law, subject to all the duties and obligations then pertaining to or incumbent upon it as a municipal corporation and shall continue to enjoy all the rights, immunities, powers and franchises then enjoyed by it, as well as those that may thereafter or hereinafter be granted to it.

Sec. 2.02 (§45-37A-52.31) Form of government.

The municipal government of any such city proceeding under this act shall be known as the "mayor-council form of government." Pursuant to the provisions and limitations of this act and subject to the limitations imposed by the Constitution of Alabama and its laws, all powers of the city shall be vested in the council elected as herein provided and hereinafter referred to as "the council," which shall enact ordinances, adopt budgets and determine policies. All powers of the city shall be exercised in the manner prescribed by this act, or if the manner be not prescribed, then in such manner as may be prescribed by law or by ordinance.

Sec. 2.03 (§45-37A-52.32) Powers of city.

The city shall have all the powers granted to municipal corporations and to cities by the constitution and laws of this state together with all the implied powers necessary to carry into execution all the powers granted. The city may acquire property within or without its corporate limits for any city purpose, in fee simple or any lesser interest or estate, by purchase, gift, devise, lease or condemnation, and may sell, lease, mortgage, hold, manage and control such property as its interests may require; and, except as prohibited by the constitution of this state or restricted by this act, the city shall and may exercise all municipal powers, functions, rights, privileges and immunities of every name and nature whatsoever. The enumeration of particular powers by this act shall not be deemed to be exclusive, and in addition to the powers enumerated therein or implied thereby, or appropriate to the exercise of such powers, it is intended that the city shall have and may exercise all powers which, under the constitution of this state, it would be competent for this act specifically to enumerate.

ARTICLE III The Council

Editorial Note: Pursuant to the authority granted it by Act No. 87-191, section 11-43-63, Alabama Code 1975, the council voted by adoption of Ordinance No. 89-46 on March 28, 1989, to go to nine (9) single-member council districts and the initial election of all nine (9) members of the council from each of the districts to take place October 10, 1989. The persons so elected shall each have terms of four (4) years as will persons elected every four (4) years thereafter. Ordinance No. 89-46 is set forth in its entirety in Part 2, the Code of General Ordinances, sections 2-2-51 et seq., immediately following this Part 1, the Mayor-Council Act.

Sec. 3.01 (§45-37A-52.50) Number, election, term.

The council shall have nine (9) members elected from the city at large in the manner hereinafter provided, five (5) of whom shall be elected at an election held each two (2) years. The regular election at large for the choice of such five (5) members of the council shall be held on the second Tuesday in October of the year during which the term of the five (5) members of the first council elected under the provisions of Section 1.07 hereof for the term most closely approximating two (2) years shall expire, and every two (2) years thereafter. The five (5) persons receiving a majority of the votes cast in any such election shall be elected to the council; and in the event that five (5) persons should fail to receive such a majority, then and in that event those persons receiving majority shall be elected, and another election for the choice of the remainder of the five (5) members of the council to be elected shall be held on the third Tuesday thereafter in the same mode and manner and under the same rules and regulations provided in Section 1.07 hereof with respect to the election of the first council. The council so elected shall take office on the fourth Tuesday in November following their election. The four (4) such councilmen of the five (5) so elected receiving the largest number of votes at the first election if at least four (4) councilmen are elected thereat, shall hold office for a term of four (4) years and until their successors are elected and qualified. If four (4) councilmen are not elected at the first election, then those who are elected at such election and the councilmen receiving the highest vote at the second election sufficient to bring the total number of such councilmen to four (4), shall hold office as provided in the preceding sentence. The fifth such councilman elected at either the first or second election shall hold office for a term of two (2) years and until his successor is elected and qualifies. Elections shall, except as otherwise herein provided, be governed by the general provisions of law relating to municipal elections of such city. No voter shall vote in said election or in any other election provided for by this Act for either a greater or lesser number of candidates than the number of seats in the council to be filled at such election, and any violation of these provisions shall nullify the ballot of the violator. A majority of the votes cast in any election held under this Act shall mean an excess of number of votes over a number ascertained by dividing the total vote cast for all candidates by the number of seats in council to be filled, and then dividing the result by two. (Act No. 625, 1984, Sec. 1, as amended by Act No. 919, 1985, Sec. 1)

Sec. 3.02 (§45-37A-52.51)Statement of candidacy.

Any person desiring to become a candidate in any election for the office of councilman may become such candidate by filing in the office of the Judge of Probate of the county in which such city is situated, a statement in writing of such candidacy and an affidavit taken and certified by such judge of probate or by a notary public that such person is duly qualified to hold the office for which he desires to be a candidate. Such statement shall be filed at least 21 days before the day set for such election and shall be in substantially the following form: "State of Alabama, _____ County. I, the undersigned, being first duly sworn, depose and say that I am a citizen of the City of __, in said State and County, and reside at ___ , in said City of _, that I desire to become a candidate for the office of councilman in said city at the election for said office to be held on the day of October next and that I am duly qualified to hold office if elected thereto and I hereby request that my name be printed upon the official ballot at said election. Signed _; Subscribed and sworn to before me by said on this day of _ _____, 19_____, and filed in this office for record on said day, Judge of Probate." Said statement shall be accompanied by a qualifying fee in the amount of \$50, which fee shall be paid over by the judge of probate to the general fund of the city. At every such election all ballots to be used by voters shall be printed and prepared by the election commission or other body or official charged by law with the duty of conducting elections and at the expense of said city, and shall contain the names of all candidates directly underneath the words "for members of the council." No name shall appear upon said ballot as a candidate for election except the names of such persons as have become candidates according to provisions as above set forth; No ballot shall be used at any such election except the official ballot prepared by the election commission or other body or official charged by law with the duty of conducting elections, except that the names of candidates may be suitably placed on voting machines if such machines are used to conduct such election. No primary election shall be held for the nomination of candidates for the office of councilman and candidates shall be nominated only as hereinabove provided. (Act No. 131, 1965)

Sec. 3.03 (§45-37A-52.52) Qualification.

Every person who shall be elected or appointed to the office of member of the council shall, on or before the fourth Tuesday of November of the year of his or her election or before the Tuesday following the date of his or her appointment, qualify by making oath that he is eligible for said office and will execute the duties of same according to the best of his knowledge and ability. Said oath may be administered by any person authorized to administer an oath under the laws of Alabama. (Act No. 625, Sec. 2, as amended by Act No. 919, 1985, Sec. 2)

Sec. 3.04 (§45-37A-52.53)Eligibility.

Councilmen shall be qualified electors of the city, at least 21 years of age at the time of their election, and shall hold no other public office except that of notary public or member of the National Guard or naval or military reserve. If a councilman shall cease to possess any of these qualifications or shall be convicted of [a] crime involving moral turpitude, his office shall immediately become vacant. (Act No. 452, 9/9/55, as amended by Act No. 85, 4/24/75, Sec. 1)

Sec. 3.05 (§45-37A-52.54)Compensation.

The council shall receive such salary which shall be effective on such date as the council may by resolution or ordinance prescribe; provided, however, no councilman, other than the president of the council, shall receive a salary in excess of \$15,000 per annum. The council may provide by ordinance or resolution for the office of the president of the council compensation in addition to the compensation such president will be entitled to receive as a councilman, which additional compensation shall not exceed the additional amount of \$2,400 per annum, making the total maximum compensation which a member of the council may receive while serving as president thereof, \$17,400 per annum. Such salary shall be payable in monthly installments at the end of each month, said installments to be paid at the same rate for any portion of the month during which each such councilman shall hold office at the rate provided. (Act No. 452, 9/9/55, as amended by Act No. 495, 8/27/73, Sec. 2; Act No. 1159, 1981, Sec. 1; Act No. 797, 1987, Sec. 1)

Editorial Note: Act No. 1117, 1981, section 1, amended article VI, section 19 of Act No. 929, 1951, allowing retirees who have been elected to city office to continue to receive retirement benefits rather than compensation for the office to which elected. Act No. 129, 1965, section 1, granted members of the governing body for expenses incurred in attending business of the city within its corporate limits, not exceeding a total amount of \$1,000. This act was superseded by Act No. 188, 1982, section 1 of which allowed a maximum expense allowance of \$300 per month for council members and \$350 for the president or chairman, and section 2 of which allowed members elected after Nov. 1981 to receive an additional maximum of \$200 per month, with no such additional allowance paid after Nov. 15, 1985. Act No. 87-798, section 1, increased the allowance to \$500 per month for council members and \$550 per month for the president or chairman; and section 2 allowed an additional allowance for members elected for a term commencing in 1985 of a maximum of \$475 per month, with no such additional expense paid after Nov. 15, 1989. Ord. No. 94-122, sections 1 through 5, adopted June 7, 1994, provided the following:

"I. Under authority of Act No. 94-715 of the Legislature of Alabama of 1994, there is hereby established an additional amount of money to be paid monthly by the city, to each council member of the City of Birmingham, for expenses incurred by the members when attending to the business of the municipality within its corporate limits, in the amount of three hundred thirty-three dollars and 33 cents (\$333.33) each, per month.

2. No reports of expenditures or records of expenses shall be required in connection with this in-city expense allowance.

3. This expense allowance shall not reduce or limit any other salary or allowance now paid to members of the council.

4. This allowance shall be interpreted and administered in full compliance with Act. No. 94-715 of the Legislature of Alabama of 1994.

5. This payment shall commence as of July 1, 1994, and continue until changed by this council."

Sec. 3.06 (§45-37A-52.55) Presiding officer.

The <u>At the first meeting, which shall be held immediately following the effective date of</u> the act adding this amendatory language (May 5, 2016) and then subsequently at the first meeting held after the election of the council after the effective date of the act adding this amendatory language and every two years thereafter, the council shall elect an officer of the city who shall have the title of president of the council and shall preside at meetings of the council. The council shall also elect and a president pro tem, who shall act as president of the council during the absence or disability of the president. The terms of office of the president and the president pro tem shall be until the councilmen shall qualify following the next succeeding biennial election successors are elected and qualified. If a vacancy shall occur in the office of president of the council, the council shall elect a successor for the completion of the unexpired term. Both the president of the council and the president pro tem shall be elected from among the councilmen. (Act No. 452, 9/9/55, as amended by Act No. 637, 9/8/67, Sec. 2)

Sec. 3.07 (§45-37A-52.56) Powers.

All Except those powers specifically granted by this part to the mayor or as otherwise granted to the mayor by law, all powers of the city, including all powers vested in it by this act, by the laws, general and local, of the state, and the determination of all matters of policy, shall be vested in the council. Without limitation of the foregoing, the council shall have power to:

(1) Establish administrative departments and distribute the work of divisions.

(1) Adopt the budget of the city.

(2) Authorize the issuance of bonds or warrants.

(3) Inquire into the conduct of any office, department or agency of the city and make investigations as to municipal affairs.

(4) Appoint Except as otherwise provided by law or this amendatory act, appoint the members of all boards, commissions or other bodies authorized hereunder or by law. This provision for appointment of members of boards, commissions or other bodies authorized hereunder or by law shall supersede any different provision for appointment of such members contained in any statute or ordinance in effect at the time of adoption by the city of the mayor-council form of government set up by this act, and shall include power to remove any member of any board, commission or body to the same extent as might be done by the governing body of the city at the time of adoption by the city of the mayorcouncil form of government set up by this act and to appoint another in his stead. And wherever in any statute in effect at the time of adoption by the city of said mayor-council form of government the chief executive officer of the city is designated to act in any capacity ex officio, the mayor shall act. <u>This subdivision shall not override the express terms of the Lewis Spratt Act, Subpart 1, commencing with Section 45-37A-50. Part 1 of this article.</u>

(5) Succeed to all the powers, rights and privileges conferred upon the former governing body of the city by statutes in effect at the time of adoption by the city of the mayor-council form of government and not in conflict with this act.

(6) Levy property and license taxes and local improvement assessments.

(7) Employ for and on behalf of said city such employees as the council may deem necessary to assist the council and perform such duties relating to the work of the council as the president of the council may assign. Each such employee shall serve at the pleasure of the council at such compensation as the council may set, and shall not be under any merit or civil service system. Each such employee shall by reason of such employment become a member of the pension system covering the general employees of such city, if there be such a system to the same extent and in the same manner as other general employees of the city; provided, application for such inclusion in such pension system be made to the board or other authority administering such pension system within 30 days of this act becoming law, or, thereafter within 30 days of their appointment. If no such application be made within such time, such council employees shall not hereafter be a member of such system.

(Act No. 452, 9/9/55, as amended by Act No. 495, 8/27/73, Sec. 1; Act No. 439, 1984, Sec. 1; Act No. 629, 1984, Sec. 1)

Sec. 3.08 (§45-37A-52.57) Council not to interfere in appointments or removals.

Neither the council nor any of its members shall direct or request the appointment of any person to, or his removal from, office or position by the mayor or by any of his subordinates, or in any manner take part in the appointment or removal of officers and employees in the administrative service of the city. Except for the purpose of inquiry, the council and its members shall deal with the administrative service solely through the mayor and neither the council nor any member thereof shall give orders to any subordinates of the mayor, either publicly or privately. Any councilman violating the provisions of this section, or voting for a resolution or ordinance in violation of this section, shall be guilty of a misdemeanor and upon conviction thereof shall cease to be a councilman.

Sec. 3.09 (§45-37A-52.58) Vacancies in council.

Vacancies in the council shall be filled by the council at the next regular meeting or any subsequent meeting of the council, the person so elected to hold office only until the next election of any kind in which the voters of the city to which this Act applies are qualified electors, at which time said unexpired terms shall be filled by said electors in accordance with all provisions of law applicable to such city; in any event, the person elected shall hold office until his successor is elected and qualified. (Act No. 123, 1965)

Sec. 3.10 (§45-37A-52.59) Creation of new departments or offices; change of duties.

The Upon the written approval of the mayor, the council by ordinance may create, change, and abolish offices, departments or agencies, other than the offices, departments and agencies established by this act. The Upon the written approval of the mayor, the council by ordinance may assign additional functions or duties to offices, departments or agencies established by this act, but may not discontinue or assign to any other office, department or agency any function or duty assigned by this act to a particular office, department or agency.

Sec. 3.11 (§45-37A-52.60) City clerk.

If the city clerk of any city which adopts the mayor-council form of government holds office subject to any civil service or merit system, such clerk shall continue to be the city clerk under the mayor-council form of government of such city, and his successor shall be selected and hold office subject to the provisions of such civil service or merit system. If the city clerk of any city which adopts the mayor-council form of government does not hold office subject to any civil service or merit system, the council shall elect the city clerk. The city clerk shall give notice of special or called meetings of the council, shall keep the journal of its proceedings, shall authenticate by his signature and record in full in a book kept for the purpose all ordinances and resolutions and shall perform such other duties as shall be required by this act or by ordinance, and such duties as are imposed by general law of Alabama upon city clerks and as to which other provisions are not made in this act.

Sec. 3.12 (§45-37A-52.61) Meetings of council.

The first meeting of each newly elected council shall be held on the fourth Tuesday in November of the year of its election, after which the council shall meet regularly at such times as may be prescribed by its rules, but not less frequently than once a week. All meetings of the council shall be open to the public. (Act No. 625, 1984, Sec. 3, as amended by Act No. 919, 1985, Sec. 3)

Sec. 3.13 (§45-37A-52.62) Council to be judge of qualifications of its members.

The council shall be the judge of the election and qualifications of its members and for such purpose shall have power to subpoena witnesses and require the production of records, but the decision of the council in any such case shall be subject to review by the courts.

Sec. 3.14 (§45-37A-52.63)Rules of procedure; journal.

The council shall determine its own rules and order of business. It shall keep a journal of its proceedings and the journal shall be open to public inspection.

Sec. 3.15 (§45-37A-52.64) Meetings, passage of ordinances, etc.

(a) Meetings, Passage of Ordinances by Council. The council shall hold regular public meetings on Tuesday of each and every week at a regular hour to be fixed by the order of said council from time to time and publicly announced; it may hold such adjourned, called, special or other meetings as the business of the city may require. The president of the council, when present, shall preside at all meetings of said council. Five (5) members of the council shall constitute a quorum for the transaction of any and every power conferred upon said council, and the affirmative vote of at least four (4) members of the council provided such four (4) constitute a majority of those voting shall be sufficient for the passage of any resolution, bylaw or ordinance, or the transaction of any business of any sort by the said council or the exercise of any of the powers conferred upon it by the terms of this act or by law, or which may hereafter be conferred upon it. No resolution, bylaw or ordinance granting any franchise, appropriating any money for any purpose, providing for any public improvements, any regulation concerning the public health, or of any other general or permanent nature, except the proclamation of quarantine, shall be enacted except at a regular public meeting of said council or an adjournment thereof. Every ordinance introduced at any and every such meeting shall be in writing and read before any vote thereon shall be taken, and the yeas and nays thereon shall be recorded;

provided that if the vote of all councilmen present be unanimous, it may be so stated in the journal without recording the yeas and nays. A record of the proceedings of every meeting of the council shall be kept, and every resolution or ordinance passed by the council must be recorded and the record of the proceedings of the meeting shall, when approved by the council, be signed by the president of the council and the city clerk. Such record shall be kept available for inspection by all citizens of such city at all reasonable times. No ordinance of permanent operation shall be passed at the meeting at which it was introduced except by unanimous consent of all members of the council present, and such unanimous consent shall be shown by the yea and nay votes entered upon the minutes of said meeting; provided, however, that if all members of the council present vote for the passage of the ordinance and their names are so entered on record as voting in favor thereof, it shall be construed as giving unanimous consent to the action upon such ordinance at the meeting at which it is introduced. Publication of ordinances shall be governed by Alabama Code of 1940, section 462, as amended, title 37. Provided all ordinances or resolutions, after having been passed by the council, shall by the clerk be transmitted within 48 hours after their passage to the mayor for his consideration, who, if he shall approve thereof, shall sign and return the same to the clerk, who shall publish them, if publication thereof is required, and such ordinances and resolutions shall thereupon become effective and have the force of law. Delivery to the office of the mayor shall constitute delivery to the mayor. An ordinance or resolution may be recalled from the mayor at any time before it has become a law, or has been acted on by him, by a resolution adopted by a majority of the members elected to the council, in regular or special session. If the mayor shall disapprove of any ordinance or resolution transmitted to him as aforesaid, he shall, within 10 days of the time of its passage by the council, return the same to the clerk with his objections in writing, and the clerk shall make report thereof to the next regular meeting of the city council; and if two-thirds of the members elected to the said council shall at said meeting adhere to said ordinance or resolution, notwithstanding said objections, said vote being taken by yeas and nays and spread upon the minutes, then, and not otherwise, said ordinance or resolution shall after publication thereof, if publication is required, have the force of law. If publication of said ordinance or resolution is not required, it shall take effect upon its passage over such veto. The failure of the mayor to return to the city clerk an ordinance or resolution with his veto within 10 days after its passage by the council shall operate and have the same effect as an approval of the same, and the city clerk, if publication is required, shall publish the same as is herein provided for the publication of laws and ordinances of said city. And if no publication is required, the ordinance or resolution shall become effective upon the expiration of said 10 days. Anything in this section to the contrary notwithstanding, the mayor shall not have the power of veto over appointments of the council, or over any action of the council relating to an investigation as provided for in section 8.03.

(b) Adoption of Ordinances by Initiative and Referendum. Any proposed ordinance may be submitted to the council by petition signed by 10 percent or more of the qualified electors of such city. All petitions circulated with respect to any proposed ordinance shall be uniform in character and shall contain the proposed ordinance in full, and have printed thereon the names and addresses of at least five (5) electors who shall be officially regarded as filing the petition and shall constitute a committee of the petitioners for the purposes hereinafter named. Each signer of a petition shall sign his name and shall have

placed on the petition after his name his place of residence by street and number, if there be such street and number. The signatures to any such petition need not all be appended to one (1) paper, but to each such paper there shall be attached an affidavit by the circulator thereof stating the number of signers to each part of the petition and that each signature appended to the paper is the genuine signature of the person whose name it purports to be, and was made in the presence of the affiant. All papers comprising a petition shall be assembled and filed with the probate judge of the county in which said city is situated as one (1) instrument. Within seven (7) days from the filing of a petition with him, the probate judge shall ascertain whether it is signed by the required number of qualified electors. Upon completion of his examination, the probate judge shall endorse upon the petition a certificate of the result thereof. If the certificate of the probate judge shows that the petition is insufficient, he shall at once notify each member of the committee of the petitioners herein before provided for, and the petition may be amended at any time within 10 days from the date of the probate judge's certificate of examination by filing with the probate judge an additional petition in the same manner as provided for the original petition. Upon the filing of such amendment, the probate judge shall notify each member of the committee of that fact. The final finding of the insufficiency of a petition shall not prejudice the filing of a new petition for the same purpose. When the certificate of the probate judge shows the petition to be sufficient, he shall submit the proposed ordinance to the council at its next regular meeting. Such council shall either pass and adopt said ordinance without alterations within 20 days after attachment of the probate judge's certificate to the accompanying petition, or within said time cause to be transmitted the papers and petition to the election commission, which shall call a special election unless a general municipal election is fixed within 20 days thereafter, and at such special or general election if one is so fixed, such ordinance shall be submitted without alteration to the vote of the electors of said city. The ballots used when voting upon said ordinance shall contain these words, "for the ordinance" (stating the nature of the proposed ordinance) and "against the ordinance" (stating the nature of the proposed ordinance), and the voter shall express his choice by a cross mark. If the majority of the qualified electors voting on the proposed ordinance shall vote in favor thereof, such ordinance shall thereupon become valid and binding ordinance of the city, and any ordinance proposed by petition and which shall be adopted by the vote of the people cannot be repealed or amended except by a vote of the people. Any number of proposed ordinances may be voted upon at the same election in accordance with the provisions of this section, but there shall not be more than one (1) special election in any period of six (6) months for that purpose. A proposal for the repeal of such ordinance or for amendments thereto may in like manner be submitted to be voted upon and adopted at any succeeding election. Whenever any ordinance is required by this section to be submitted to the voters of the city at any election, such ordinance if adopted by the electorate shall be published in the same manner as ordinances regularly adopted by the council and shall become effective from the date of publication. The election to be held under this section shall be in accordance with general election laws governing elections in such city and all laws governing elections generally applicable to such city shall be applicable to elections held hereunder. (Act No. 452, as amended by Act No. 130, 7/7/65, Sec. 1; Act No. 294, 1965, Sec. 2)

Editorial Note: Although not specifically amendatory of this act, Act No. 294, 1965, section 2, has been included as subsection (b) of this section, with the existing provisions being designated subsection (a). For convenience' sake, italic subcatchlines have been added.

Sec. 3.16 (§45-37A-52.65) Granting of franchises.

No resolution or ordinance, granting to any person, firm or corporation any franchise, lease or right to use the streets, public highways, thoroughfares, or public ways of any city organized under the provisions of this act, either in, under, upon, along, through or over same shall take effect and be enforced until 30 days after the final enactment of same by the council and publication of said resolution or ordinance in full once a week for three (3) consecutive weeks in some daily newspaper published in said city, which publication shall be made at the expense of the persons, firm or corporation applying for said grant. Pending the passage of such resolution or ordinance or during the time intervening between its final passage, and the expiration of the 30 days during which publication shall be made as above provided, the legally qualified voters of said city may, by written petition or petitions addressed to said council, object to such grant, and if during such period such written petition or petitions signed by at least five percent (5%) of the legally qualified voters of the city shall be filed with said council, said council shall forthwith order an election, which shall be conducted by the election commission of the city or other body or official charged with the duty of conducting elections therein, at which election the legally qualified voters of said city shall vote for or against the proposed grant as set forth in the said resolution or ordinance. In the call for said election, the said resolution or ordinance making such grant shall be published at length and in full at the expense of the city in at least two (2) newspapers published in said city by one (1) publication. If a majority of the votes cast at such election shall be against the passage of said resolution or ordinance, then and in those events, said resolution or ordinance shall not become effective nor shall it confer any rights, powers or privileges of any kind; otherwise, said resolution or ordinance and said grant shall thereupon become effective as fully and to the same extent as if said election had not been called or held. If, as the result of said election, said resolution or ordinance shall not become effective, then it shall be the duty of said council, after the results of said election shall be determined, to pass a resolution or ordinance to that effect. No grant of any franchise or lease or right of user, or any other right, in, under, upon, along, through or over the streets, public highways, thoroughfares or public ways of any such city, shall be made or given nor shall any such rights of any kind whatever be conferred upon any person, firm or corporation, except by resolution or ordinance duly passed by the council at some regular or adjourned regular meeting and published as above provided for in this section; nor shall any extension or enlargement of any such rights or powers previously granted be made or given except in the manner and subject to all the conditions herein provided for as to the original grant of same. It is expressly provided, however, that the provisions of this section shall not apply to the grant of side track or switching privileges to any railroad or street car company for the purpose of reaching and affording railway connections, and switch privileges to the owners or users of any industrial plant, store or warehouse; provided further, that said side track or switch shall not extend for a greater distance than 1,320 feet.

Sec. 3.17 (§45-37A-52.66) Codification authorized.

The council may provide at any time it may deem proper, for the revision and codification of its ordinances, bylaws, and permanent resolutions, or for the adoption of a code or codes by ordinance. Such code or codes and the revisions or amendments thereof may relate to the whole system of city bylaws, ordinances and permanent resolutions, or may relate to that portion of such ordinances, bylaws and permanent resolutions which relate to, affect or purport to govern any particular subject or subjects or subdivisions of municipal legislation. The council shall have full power and authority to prescribe the manner in which said code or codes, revisions or amendments thereto, shall be made public, whether by proclamation of any officer or officers of said city by posting or by publication, one or all, but it shall not be necessary unless so prescribed by the council for such code or codes, revisions or amendments thereto, to be published in a newspaper or newspapers. Nor shall it be necessary that such code or codes, revisions or amendments thereto, be spread at length upon the minutes. The council may prescribe that such code or codes, revisions or amendments thereto may be certified by and filed with the city clerk, or other corresponding officer, in lieu of spreading the same on the minutes; and the council may prescribe the manner in which copies of such code or codes, revisions, or amendments thereto, may be officially certified for use by the inhabitants or by the courts. The council may adopt and provide for the maintenance in a designated office of the city of a comprehensive zone map of the city open for inspection by the public at all reasonable times, and may make such zone map a part of any ordinance by reference thereto in such ordinance and without publication of such zone map in any newspaper. Such zone map need not be in one (1) piece but may for convenience be in sections. A zone map of territory newly added to the city shall be treated as a comprehensive zone map of the city for purposes of application of the provisions of the next preceding sentence.

Sec. 3.18 (§45-37A-52.67) Examination of books and publication of accounts.

The council shall each month print in pamphlet form a detailed statement of all receipts and expenses of the city, and a summary of its proceedings during the preceding month, and furnish printed copies thereof to the daily newspapers of the city and to persons who apply therefor. At the end of each year, the council shall cause a full and complete examination of all the books and accounts of the city to be made by a certified public accountant, or by the state department of examiner of public accounts, and shall cause the result of such examination to be published in the manner above provided for publication of statements of monthly expenditures. Such examination shall not be made more than three (3) years in succession by the same accountant. (Act No. 452, 9/9/55, as amended by Act No. 257, 5/22/79)

ARTICLE IV Mayor

Sec. 4.01 (§45-37A-52.90) The mayor, election, term, qualification.

The first mayor shall be elected at the same election at which the councilmen are elected under the provisions of section 1.07 of this act and shall hold office until the second

Tuesday in November of that year ending in an odd number which would give him a term of office most closely approximating four (4) years and until his successor is elected and qualified. The first mayor shall qualify and take office in the manner hereinafter prescribed on the second Monday following the date the election of all nine (9) councilmen is completed or on the second Monday following the election of such mayor whichever last occurs. The regular election for mayor shall be held on the second Tuesday in October of the year during which the term of the first mayor elected hereunder terminates and every four (4) years thereafter. The mayor elected at any such regular election shall, on or before the fourth Tuesday of November of the year of such election, qualify by making oath that he is eligible for said office and will execute the duties of same according to the best of his knowledge and ability. Said oath may be administered by any person authorized to administer an oath under the laws of Alabama. At any election for mayor, the candidate receiving the highest number of votes for the office shall be elected thereto, provided such candidate receives a majority of all votes cast for such office. If at the first election a majority is not received by any candidate for the office of mayor, then a second election shall be held on the third Tuesday thereafter in the same mode and manner and under the same rules and regulations provided in section 1.07 hereof with respect to the election of the first mayor. (Act No. 625, 1984, Sec. 4, as amended by Act No. 919, 1985, Sec. 4)

Sec. 4.02 (§45-37A-52.91) Statement of candidacy.

Any person desiring to become a candidate at any election for the office of mayor may become such candidate by filing in the office of the Judge of Probate of the county in which such city is situated, a statement in writing of such candidacy, accompanied by an affidavit taken and certified by such judge of probate or by a notary public that such person is duly qualified to hold the office for which he desires to be a candidate. Such statement shall be filed at least 21 days before the day set for such election, and shall be in substantially the following form:

"State of Alabama, _____ County. I, the undersigned, being first duly sworn, depose and say that I am a citizen of the City of ______, in said State and County, and reside at ______ in said City of ______, that I desire to become a candidate for the office of mayor in said city at the election of said office to be held on the ______ day of October next and that I am duly qualified to hold said office if elected thereto and I hereby request that my name be printed upon the official ballot at said election. Signed ______; Subscribed and sworn to before me by said _______; on this ______ day of ______, 19_____, and filed in this office for record on said day. Judge of Probate."

Said statement shall be accompanied by a qualifying fee in an amount equal to \$300 which qualifying fee shall be paid over by the judge of probate to the general fund of the city. At every such election all ballots to be used by voters shall be printed and prepared by the election commission or other body or official charged by law with the duty of conducting elections and at the expense of said city, and shall contain the names of all candidates directly underneath the words "For Mayor." No names shall appear upon said

ballot as a candidate for election except the names of such persons as have become candidates according to provisions as above set forth; no ballot shall be used at any such election except the official ballot prepared by the election commission or other body or official charged by law with the duty of conducting elections, except that the names of candidates may be suitably placed on voting machines if such machines are used to conduct such election. No primary election shall be held for the nominations of candidates for the office of mayor and candidates shall be nominated only as hereinabove provided. (Act No. 452, as amended by Act No. 131, 1965)

Sec. 4.03 (§45-37A-52.92) Eligibility.

The mayor shall be a qualified elector of the city, at least 25 years of age at the time of his election and shall hold no other public office.

Sec. 4.04 (§45-37A-52.93) Compensation.

The mayor shall receive an annual salary payable in monthly installments at the end of each month, said installments to be paid at the same rate for any portion of the month during which the mayor shall hold the office at the rate hereinafter provided. The annual salary which the mayor shall receive shall be \$68,000 annually. (Act No. 452, as amended by Act No. 503, 1973; Act No. 771, 8/9/79; Act No. 87-800, Sec. 1)

Editorial Note: Act No. 76, 1964, sections 2—5, authorized the mayor to provide himself with a passenger automobile equipped with radio receiving and sending equipment, to be owned, operated and maintained for the use of the mayor, all at the expense of the city. Act No. 77, 1964, section 1, provided for a monthly allowance for the mayor of \$300 for which no accounting is required. Sections 1, 2 of Act No. 772, 1973, increased the allowance to \$500, \$200 of which requires an accounting with the \$200 increase terminating on the second Monday in Nov., 1975. Act No. 618, 1984, section 1, increased the allowance to \$1000, for which the mayor should not be required to file an accounting.

Sec. 4.05 (§45-37A-52.94) Vacancy in office of mayor.

Whenever any vacancy in the mayor shall occur by reason of death, resignation, removal or any other cause, the president of the council shall assume the duties of the office of mayor effective on the date such vacancy occurs and shall serve as acting mayor until a new mayor is elected and qualified as hereinafter provided. The acting mayor shall receive no compensation, expenses or allowances as a councilman while acting as mayor, but he will receive the same rate of pay and allowances provided for the mayor whose vacated office he fills, and the compensation received for days of service as acting mayor shall not be counted in determining the maximum annual per diem compensation permitted council members. While the president of the council is serving as acting mayor he shall not sit with the council or vote on any matters before the council. The election commission of the city, if there be one, and if not then the council thereof, shall within five (5) days of the occurrence of a vacancy in the office of the mayor call a special election to fill such vacancy, such election to be held on a Tuesday not less than 30 days

and not more than 45 days from the occurrence of such vacancy; provided, however, if a regular or special election is scheduled or required to be held within 90 days after the occurrence of such vacancy but more than 30 days after such occurrence, then the vacancy in the office of mayor will be filled at such regular or special election. Notice of such election shall be given at the expense of the city by one publication at least 18 days in advance of the same in one (1) or more newspapers published in such city. The method, procedure and requirements of qualifying, voting upon and determining the successful candidate shall be the same as is provided herein relative to the election of the mayor at regular elections, except that statements of candidacy must be filed at least 20 days before the date set for such election. The successor to the mayor chosen at any such election shall qualify for office as soon as practical thereafter, and shall be clothed with and assume the duties, responsibilities and powers of such office immediately upon such qualification, and shall hold office for the unexpired term of his predecessor and until his successor is elected and qualified. (Act No. 452, as amended by Act No. 133, 1965)

Sec. 4.06 (§45-37A-52.95) The mayor; powers and duties.

The mayor shall be the head of the administrative branch of the city government. He shall not sit with the council nor shall he have a vote in its proceedings and he shall have the power and duties herein conferred. He shall be responsible for the proper administration of all affairs of the city and, subject to the provisions of any civil service or merit system law applicable to such city and except as otherwise provided herein, he shall have power and shall be required to:

(1) Enforce all law and ordinances;

(2) Appoint and, when necessary for the good of the service, remove all officers and employees of the city except as otherwise provided by this act and except as he may authorize the head of a department or office to appoint and remove subordinates in such department or office; provided that he shall not appoint or remove officers or employees of:

a. any library board of the eity;

a. any board of the city having control over any park, recreation facility, fair or exhibit;

b. any municipally-owned public utility, including electric, gas and water systems, while such utility is operating under a board constituted by law or required by the terms of any indenture, mortgage or deed of trust providing for employment by other authority;

c. any school board of the city;

d. any planning board or zoning board of the city;

(3) Exercise administrative supervision and control over all departments created by this act or by law or hereafter created by the council except those enumerated in subdivisions a. to ed. inclusive of subsection (2) of this section, and except those otherwise given independent status under this act.

(4) Keep the council fully advised as to the financial conditions and needs of the city; prepare and submit the budget annually to the council and be responsible for its administration after its adoption; prepare and submit, as of the end of the fiscal year, a complete report on the financial and administrative activities of the city for such year and prepare a detailed report within 10 days of the council's request

except the mayor shall have an extension of five additional days if the information is not readily available.

(5) Recommend to the council such actions as he may deem desirable.

(6) Prepare and submit to the council such reports as may be required of him.

(7) Perform such other duties as may be prescribed by this act.

(8) Fix the salaries or compensation of all officers and employees of the city who are appointable by him, subject, however, to the provisions of any civil service or merit law applicable to the city.

(9) Employ as members of his staff such employees as the mayor may deem necessary for and on behalf of said city to assist the mayor and perform such duties relating to the mayor as the mayor may assign. Each such employee shall serve at the pleasure of the mayor [at] such compensation as the mayor may set. Such staff members shall not be under any merit or civil service system, but, should a member of the classified service under any merit or civil service system applicable to the city be appointed hereunder, the provisions of this section notwithstanding, he may be paid at the salary established for his classification at the time of appointment; and such person shall not lose any rights under such merit or civil service system by reason of his appointment hereunder and shall, upon termination of service on the mayor's staff, have the right to return to the classified service, with full credit for time served on the mayor's staff, at the same or higher classification as that held upon appointment hereunder. Each such employee shall by reason of such employment become a member of the pension system covering the general employees of the city, if there be such a system, to the same extent and in the same manner as other general employees of the city, provided such employee apply to the board or other authority administering such pension system within 30 days of appointment; otherwise, such employee shall not be a member of the system. This section shall not limit the authority of the mayor to appoint other staff under the merit system or as otherwise authorized by law. No person employed in one of these staff positions shall run for elected office while so employed. (Act No. 452, as amended by Act No. 1168, 1973; Act No. 336, 1982, Sec. 1; Act No. 626, 1984, Sec. 1)

(10) Retain the services of outside counsel and other professional services, to the extent that it is in the budget, and will not impact the budget, to meet the needs of the city when, in the opinion of the mayor, such action would best serve the interests of the city.

Editorial Note: Section 1 of Act No. 502, 1973, authorized the appointment by the mayor of a chief administrative assistant to the mayor, not subject to the provisions of any merit system, with a maximum annual salary of \$21,500. Section 1 of Act No. 484, 1982, increased the maximum salary to \$39,500 annually, and provided that such employee should not be subject to any merit system while serving on the mayor's staff but be a member of any pension system applicable to merit system employees if application be made within 30 days of appointment.

Sec. 4.07 (§45-37A-52.96) Administrative departments.

There shall be a department of finance, and such other departments as may be established by ordinance upon the recommendation of the mayor.

Sec. 4.08 (§45-37A-52.97) Directors of departments.

At the head of each department there shall be a director, who shall be an officer of the city and shall have supervision and control of the department subject to the mayor. Two (2) or more departments may be headed by the same individual, the mayor may head one (1) or more departments, and directors of departments may also serve as chiefs of divisions.

Sec. 4.09 (§45-37A-52.98) Departmental divisions.

The work of each department may be distributed among such divisions thereof as may be established by ordinance upon the recommendation of the mayor. Pending the passage of an ordinance or ordinances distributing the work of departments under the supervision and control of the mayor among specific divisions thereof, the mayor may establish temporary divisions.

ARTICLE V Budget

Sec. 5.01 (§45-37A-52.120) Fiscal year.

The fiscal year of the city government shall begin on the first day of July and shall end on the last day of June of each calendar year. Such fiscal year shall also constitute the budget and accounting year. As used in this act, the term "budget year" shall mean the fiscal year for which any particular budget is adopted and in which it is administered. (Act. No. 452, as amended by Act. No. 792, 1973)

Sec. 5.02 (§45-37A-52.121) Submission of budgets.

On a day to be fixed by the council but in no case later than the 20th day of May in each year, the mayor shall submit to the council:

(a) a separate current revenue and expense budget for the general operation of the city government, to be known as the "general fund budget";

- (b) a budget for each public utility owned and operated by such city;
- (c) a capital budget; and
- (d) a budget message.

When submitting the budgets to the council, the mayor shall submit his recommendation of new sources of revenue or manner of increasing existing sources of revenue, sufficient to balance the budgets, if such additional revenue is necessary to accomplish that purpose. (Act No. 452, as amended by Act No. 792, 1973)

Sec. 5.03 (§45-37A-52.122) Preparation of budgets.

It shall be the duty of the head of each department, and each other office or agency supported in whole or in part by the city, to file with the director of finance, at such time as the mayor may prescribe, estimates of revenue and expenditure for that department, office or agency for the ensuing fiscal year. Such estimates shall be submitted on the forms furnished by the director of finance and it shall be the duty of the head of each such department, office or agency, to supply all the information which the director of finance may require to be submitted thereon. The director of finance shall assemble and compile these estimates and supply such additional information relating to the financial transactions of the city as may be required by the mayor in the preparation of the budgets. The mayor shall hold such hearings as he may deem advisable and with the assistance of the director of finance shall review the estimates and other data pertinent to the preparation of the budgets and make such revisions in such estimates as he may deem proper, subject to the laws of the State of Alabama and any municipal ordinance relating to obligatory expenditures for any purpose.

Sec. 5.04 (§45-37A-52.123) Scope of general fund budget.

The general fund budget shall include only the net amounts estimated to be received from or to be appropriated to each public utility. The general fund budget shall be prepared in accordance with accepted principles of municipal accounting and budgetary procedure and techniques, and shall show:

(a) such portion of the general fund cash surplus as it is estimated will exist, at the end of the current fiscal year, and is proposed to be used for meeting expenditures in the general fund budget for the ensuring year;

(b) an estimate of the receipts from current ad valorem taxes on real estate and tangible personal property during the ensuing fiscal year, assuming that the percentage of the levy collected be no greater than the average percentage of the levy collected in the last three (3) completed tax years;

(c) an estimate of receipts from all other sources of revenue, provided that the estimated receipts from each such source shall not exceed the percentage of estimated revenue in the current fiscal year from the same source, over the amount of the revenue received from the same source, in the last completed fiscal year, unless a law or ordinance under which revenue from any source is derived, has been amended or a new source of revenue has been provided by law or ordinance, in the course of the current year, in which case the estimated receipts from that source may be fixed by the mayor. If additional revenue is to be derived from the state, the amount fixed by the mayor shall not exceed the amount which the proper state official shall certify in writing to be the reasonable expectation of receipts from such source;

(d) a statement to be furnished by the director of finance of the debt service requirements for the ensuing year;

(e) an estimate of the general fund cash deficit, if any, at the end of the current fiscal year and of any other obligations required by law to be budgeted for the ensuing fiscal year; current fiscal year and of any other obligations required by law to be budgeted for the ensuing fiscal year;

(f) an estimate of expenditures and appropriations for all other purposes to be met from the general fund in the ensuing fiscal year. All the estimates shall be in detail showing receipts by sources and expenditures by operating units, character and object, so arranged as to show receipts and expenditures as estimated for the current fiscal year and actual receipts and expenditures for the last preceding fiscal year, in comparison with estimated receipts and recommended expenditures for the ensuing fiscal year.

Sec. 5.05 (§45-37A-52.124) A balanced budget.

In no event shall the expenditures recommended by the mayor in the general fund budget exceed the receipts estimated, taking into account the estimated cash surplus or deficit at the end of the current fiscal year, as provided in the preceding section, unless the mayor shall recommend an increase in or levy of new or increased, taxes or licenses within the power of the city to levy and collect in the ensuing fiscal year, the receipts from which, estimated on the basis of the average experience with the same or similar taxes during the three (3) full tax years last past, will make up the difference. If estimated receipts exceed estimated expenditures, the mayor may recommend revisions in the tax and license ordinances of the city in order to bring the general fund budget into balance. The same balanced budget restrictions shall apply in the adoption of any public utility budget.

Sec. 5.06 (§45-37A-52.125) The budget message.

The budget message shall contain the recommendations of the mayor concerning the fiscal policy of the city, a description of the important features of the budget plan, an explanation of all salient changes in each budget submitted, as to estimated receipts and recommended expenditures as compared with the current fiscal year and the last preceding fiscal year, and a summary of the proposed budget showing comparisons similar to those required by section 5.04 above.

Sec. 5.07 (§45-37A-52.126) Availability of budgets for inspection and publication of the budget message.

The mayor shall cause the budget message to be printed, mimeographed or otherwise reproduced for general distribution at the time of its submission to the council, and sufficient copies of the proposed general fund, public utility and capital budgets to be made, to supply copies to each member of the council and each daily newspaper of general circulation published in the city, and two (2) copies to be deposited in the office of the city clerk where they shall be open to public inspection during regular business hours.

Sec. 5.08 (§45-37A-52.127) Publication of notice of public hearing.

At the meeting of the council at which the budget and budget message are submitted, the council shall determine the place and time of the public hearing on the budget, and shall cause to be published a notice of the place and time, not less than seven (7) days after the date of publication, at which the council will hold a public hearing. Publication shall be made at least once in a daily newspaper published and of general circulation in the city. At the time and place so advertised, or at any time and place to which such public hearing shall from time to time be adjourned, the council shall hold a public hearing on the budget as submitted, at which any citizen of the city shall be given an opportunity to be heard, for or against the estimates or any item thereof.

Sec. 5.09 (§45-37A-52.128) Action by the council on the general fund budget.

After the conclusion of the public hearing, the council, by an affirmative vote of five members and only if approved in writing by the mayor, may insert new items of expenditures or may increase, decrease or strike out items of expenditure in the general fund budget, except that no item of expenditure for debt service, or any other item required to be included by this act or other provision of law, shall be reduced or stricken out. The council shall not alter the estimates of receipts contained in the said budget except to correct omissions or mathematical errors and it shall not cause the total of expenditures as recommended by the mayor to be increased without a public hearing on such increase, which shall be held not less than three (3) days after notice thereof by publication in a newspaper of general circulation published in the city. The council shall in no event adopt a general fund budget in which the total of expenditures exceeds the receipts and available surplus, estimated as provided in section 5.04 of this act, unless at the same time it adopts measures for providing additional revenue in the ensuing fiscal year, estimated as provided in sections 5.02 and 5.05 of this act, sufficient to make up the difference.

Sec. 5.10 (§45-37A-52.129) Adoption of general fund budget.

Not later than the 20th day of June of the current fiscal year, the council by a majority vote shall adopt the general fund budget, and such ordinances providing for additional revenue as may be necessary to put the budget in balance. If for any reason the council fails to adopt the general fund budget on or before such day, the general fund budget of the current fiscal year shall be the general fund budget for the ensuing year, until such time as a newly revised budget shall be adopted by the council, and, until such time, shall have full force and effect to the same extent as if the same had been adopted by the council, notwithstanding anything to the contrary in this act. (Act No. 452, as amended by Act No. 792, 1973)

Sec. 5.11 (§45-37A-52.130) Effective date of budget; certification; copies made available.

Upon final adoption, the budget shall be in effect for the budget year. A copy of the budget, as finally adopted, shall be certified by the mayor and city clerk and filed in the office of the director of finance. The budget so certified shall be printed, mimeographed or otherwise reproduced and sufficient copies thereof shall be made available for the use of all offices, departments and agencies and for the use of citizens of the city who request a copy.

Sec. 5.12 (§45-37A-52.131) Utility budgets.

Separate budget estimates for any public utilities owned and operated by the city shall be submitted to the director of finance at the same time as the budget estimates of other departments, and in the form prescribed by the director of finance. The mayor shall prepare and present to the council a budget for the utility operation, itemizing the receipts and expenditures in manner and form as is generally provided for in section 5.04 of this act as being applicable to the general fund budget. The action of the council on any utility budget thus submitted shall be governed by the same provision as provided in this act with reference to the consideration and adoption of the general fund budget.

Sec. 5.13 (§45-37A-52.132) Work plan and allotments.

After the current expense budgets have been adopted and before the beginning of the fiscal year the head of each department, office, and agency, shall submit to the mayor in such form as he shall prescribe a work program which shall show the requested allotments of the appropriations for such department, office or agency for the entire fiscal year by monthly or quarterly periods as the mayor may direct. Before the beginning of the fiscal year the mayor shall approve, with such amendments as he shall determine, the allotments for each such department, office, or agency, and shall file the same with the director of finance who shall not authorize any expenditure to be made from any appropriation except on the basis of approved allotments, provided that such allotments shall be in conformity with the salaries established by ordinance, the provisions of any merit or civil service system applicable to such city, the laws of the State of Alabama and any municipal ordinances of such city relating to obligatory expenditures for any purpose. The aggregate of such allotments shall not exceed the total appropriation available to each such department, office, or agency for the fiscal year. An approved allotment may be revised during the fiscal year in the same manner as the original allotment was made. If at any time during the fiscal year the mayor shall ascertain that the revenue cash receipts of the general fund or any public utility for the year plus any cash surplus available from the preceding year, will be less than the total appropriations to be met from such receipts and cash surplus, he shall reconsider the work programs and allotments of the departments, offices, and agencies, and, subject to the laws of the State of Alabama and any municipal ordinances of the city relating to obligatory expenditures for any purpose, revise the allotments so as to forestall the incurring of a deficit; provided, however, that there shall be no reduction in salaries except by order of the council, or as authorized by law.

Sec. 5.14 (§45-37A-52.133) Transfers of appropriations.

The mayor may at any time authorize, at the request of any department, office, or agency, the transfer of any unencumbered balance or portion thereof in any general fund or utility appropriation from one classification of expenditure to another within the same department, office, or agency, provided that for this purpose the water, gas and electric utilities shall be deemed to be separate departments. At the request of the mayor, the council may by resolution transfer any unencumbered balance or portion thereof in any general fund appropriation from one (1) department, office, or agency to another.

Sec. 5.15 (§45-37A-52.134) Additional appropriations.

Appropriations in addition to those contained in the original general fund budget ordinance, may be made by the council by not less than five (5) affirmative votes, but only on the recommendation of the mayor <u>in writing</u>. copies of which he or she shall <u>provide to the director of finance</u>, and only if the director of finance certifies in writing that there is available in the general fund a sum unencumbered and unappropriated sufficient to meet such appropriation. Additional appropriations may be made by the council, by not less than five (5) affirmative votes, from the funds of any utility for the operation of that utility, but only if the director of finance certifies in writing that there is available in the funds of the utility, a sum unencumbered and unappropriated sufficient to meet such appropriation.

Sec. 5.16 (§45-37A-52.135) Emergency appropriations.

At any time in any budget year, the council may, pursuant to this section, make emergency appropriations to meet a pressing need for public expenditures, for other than a regular or recurring requirement, to protect the public health, safety or welfare. Such appropriation may be made by the council, by not less than five (5) affirmative votes, but only on the recommendation of the mayor. The total amount of all emergency appropriations made in any budget year shall not exceed five (5) per centum of the total general fund operating appropriations made in the budget for that year.

Sec. 5.17 (§45-37A-52.136) Appropriations to lapse.

Any portion of an appropriation remaining unexpended and unencumbered at the close of the fiscal year, shall lapse.

Sec. 5.18 (§45-37A-52.137) Capital budget.

At the same time that he submits the general fund budget, the mayor shall submit to the council a capital improvement program covering all recommended capital improvement projects, for the ensuing fiscal year and for the four (4) fiscal years thereafter, with his recommendations as to the means of financing the improvements proposed for the ensuing fiscal year. The council shall have power to accept with or without amendments or reject the proposed program and proposed means of financing for the ensuing fiscal year; and may from time to time during the fiscal year amend by ordinance adopted by at least five (5) affirmative votes, the program previously adopted by it, or the means of financing the whole or any part thereof or both, provided that the amendment shall have been recommended by the mayor, and further, provided such additional funds are available in the general fund or in any other fund of the city available therefor. The council shall adopt a capital budget prior to the beginning of the fiscal year in which the budget is to take effect. No appropriations for a capital improvement project contained in the capital budget shall lapse until the purpose for which the appropriation was made shall have been accomplished or abandoned, provided that any project shall be deemed to have been abandoned if three (3) fiscal years lapse without any expenditure from or encumbrance of the appropriation therefor. Any such lapsed appropriation shall be applied to the payment of any indebtedness incurred in financing the project concerned and if there be no such indebtedness shall be available for appropriation.

Sec. 5.19 (§45-37A-52.138) Certification of funds; penalties for violation.

No payment shall be made and no obligation incurred by or on behalf of the city except in accordance with an appropriation duly made and no payment shall be made from or obligation incurred against any allotment or appropriation unless the director of finance shall first certify that there is a sufficient unexpended and unencumbered balance in such allotment or appropriation to meet the same; provided that nothing herein shall be taken to prevent the advance authorization of expenditures for small purchases as provided in subsection (e) of section 6.04 of this act. Every expenditure or obligation authorized or incurred in violation of the provisions of this act shall be void. Every payment made in violation of the provisions of this act shall be deemed illegal and every official who shall knowingly authorize or make such payment or knowingly take part therein and every person who shall knowingly receive such payment or any part thereof shall be jointly and severally liable to the city for the full amount so paid or received. If any officer, member of a board, or employee of the city, shall knowingly incur any obligation or shall authorize or make any expenditure in violation of the provisions of this act or knowingly take part therein such action shall be cause for his removal. Nothing in this section contained, however, shall prevent the making of contracts of lease or for services providing for the payment of funds at a time beyond the fiscal year in which such contracts are made, provided the nature of such transactions will reasonably require the making of such contracts.

Sec. 5.20 (§45-37A-52.139) Reserve for permanent public improvements.

The council may by ordinance establish a reserve fund for permanent public improvements and may appropriate thereto any portion of the general fund cash surplus not otherwise appropriated at the close of any fiscal year. Appropriations from the said fund shall be made only to finance improvements included in the capital budget.

Sec. 5.21 (§45-37A-52.140) Budget continuation.

Any officially adopted budget in existence at the time that the council is first organized, shall continue in force and effect during the balance of the city's then fiscal year, or until such time as the mayor may submit to the council and the council adopts, an amended, altered or revised budget for the balance of said fiscal year.

Sec. 5.22 (§45-37A-52.141) Budget summary.

At the head of the budget there shall appear a summary of the budget, which need not be itemized further than by principal sources of anticipated revenue, stating separately the amount to be raised by property tax, kinds of expenditures itemized according to departments, doing so in such a manner as to present to the taxpayers a simple and clear summary of the detailed estimates of the budget.

ARTICLE VI Department of Finance

Sec. 6.01 (§45-37A-52.160) Director of finance; appointment.

There shall be a department of finance, the head of which shall be the director of finance, who shall be appointed by the mayor, subject to the provisions of any merit or civil service system which is applicable to such city. He shall be the chief financial officer of the city. The chief financial officer of any city which adopts the mayor-council form of government who holds office under any civil service or merit system applicable to such city shall be the first director of finance under the mayor-council form of government.

Sec. 6.02 (§45-37A-52.161) Director of finance; qualifications.

The director of finance shall be a person skilled in municipal accounting, taxation and financial control.

Sec. 6.03 (§45-37A-52.162) Director of finance; surety bond.

The director of finance shall provide a bond with such surety and in such amount as the council may require by resolution or ordinance. The premium on said bond shall be paid by the city.

Sec. 6.04 (§45-37A-52.163) Director of finance; powers and duties.

The director of finance shall have general management and control of the several divisions and units of the department of finance. He shall have charge, subject to the direction and control of the mayor, of the administration of the financial affairs of the city, and to that end shall have authority and be required to:

(a) Cooperate with the mayor in compiling estimates for the general fund, public utility, and capital budgets.

(b) Supervise and control all encumbrances, expenditures and disbursements to insure that budget appropriations are not exceeded.

(c) Prescribe and install systems of accounts for all departments, offices and agencies of the city and provide instructions for their use; and prescribe the form of receipts, vouchers, bills or claims to be used and of accounts to be kept by all departments, offices and agencies of the city.

(d) Require daily, or at such other intervals as he may deem expedient, a report of receipts from each of such departments, offices and agencies, and prescribe the time and the manner in which moneys received by them shall be paid to the office of the director of finance or deposited in a city bank account under his control.

(e) Examine all contracts, purchase orders and other documents, except bonds and notes which create financial obligations against the city, and approve the same only upon ascertaining that money has been appropriated and allotted therefor and that an unexpended and unencumbered balance is available in such appropriation and allotment to meet the same, provided that the director of finance may give advance authorization for the expenditure from any appropriation for the purchase of supplies, materials, or equipment of such sum, within the current allotment of such appropriation as he may deem necessary during a period of not to exceed the ensuing three (3) calendar months for the purchase of items not to exceed in cost \$50 for any one (1) item, and immediately encumber such appropriation with the amount of such advance authorization, and thereafter, within the period specified, purchase orders for such items, to an aggregate not exceeding such authorization, shall be valid without the prior approval of the director of finance endorsed thereon, but each such purchase order shall be charged against such authorization and no such purchase order, which together with all such purchase orders previously charged within the period specified shall exceed the amount of such authorization, shall be valid.

(f) Have custody of all public funds belonging to or under the control of the city, or any office, department or agency of the city government, and deposit all funds coming into his hands in such depositories as may be designated by resolution or ordinance of the council, or, if no such resolution or ordinance be adopted, by the

mayor, subject to the requirements of law as to surety and the payment of interest on deposits. All such interest shall be the property of the city and shall be accounted for and credited to the proper account. He shall not be liable for any loss sustained as to funds of the city that are on deposit in such a designated bank.

(g) Audit and approve before payment, all bills, invoices, payrolls and other evidences of claims, demands or charges against the city government and with the advice of the department of law, determine the regularity, legality and correctness of such claims, demands or charges.

(h) Have custody of all investments and invested funds of the city or in its possession in a fiduciary capacity, unless otherwise provided by this act or by law, ordinance or the terms of any trust, and the safekeeping of all bonds and notes of the city and the receipt and delivery of city bonds and notes for transfer, registration and exchange.

(i) Have supervision over the preparation of bond ordinances, bonds, advertisements for sale of bonds, preparation of bond prospectuses, conduct of sale of bonds, and delivery of bonds, all subject to provisions of law and municipal ordinances, applicable thereto. Bonds shall be authenticated by the manual signature of the director of finance and shall bear the facsimile signature of the mayor and a facsimile of the seal of the city. Interest coupons transferable by delivery shall be attached to the bond and shall be authenticated by the facsimile signature of the director of finance.

(j) Supervise and direct the placing of all types of insurance carried by the city where the premiums in whole or in part are paid by the city, or the premiums in whole or in part are withheld through the payrolls; the amount of all types of insurance on which the city pays the premiums in whole or in part shall be determined by the council after a recommendation by the mayor.

(k) Submit to the mayor for presentation to the council not later than the 12th day of each month, a statement showing in reasonable detail the revenues received by the city during the preceding month, the revenues received during that fiscal year up to and through the end of the preceding month, the expenditures made during the preceding month, and the accumulated expenditures made during that fiscal year up to and through the end of the preceding month, together with a comparison of said items with the budget estimates.

(1) Furnish to the head of each department, office and agency of the city a copy of that portion of the statement as required in item (k) of this section, as same is related to his department, office or agency.

(m) Prepare and submit to the mayor at the end of each fiscal year, for the preceding year, a complete financial statement and report of the financial transactions of the city.

(n) Designate, with the approval of the mayor, and subject to the provisions of any merit or civil service system applicable to such city, an employee of the department of finance as deputy director of finance who during the temporary absence or incapacity of the director of finance shall have and perform all the powers and duties conferred or imposed upon the director of finance.

(o) Protect the interests of the city by withholding the payment of any claim or demand by any person, firm or corporation against the city until any indebtedness

or other liability due from such person, firm or corporation shall first have been settled and adjusted.

(p) Collect all special assessments, license fees and other revenues of the city for whose collection the city is responsible and receive all money receivable by the city from the county, state or federal government, or from any court, or from any office, department or agency of the city.

(q) With approval of the mayor to inspect and audit any accounts or records of financial transactions which may be maintained in any office, department or agency of the city government apart from or subsidiary to the accounts kept in his office.

(r) Supervise through the division of purchases as provided for in section 6.07 of this act, and be responsible for the purchase, storage and distribution of all supplies, materials, equipment and other articles used by any office, department or agency of the city government.

Sec. 6.05 (§45-37A-52.164) When contracts and expenditures prohibited.

No officer, department or agency shall, during any budget year, expend or contract to expend any money or incur any liability, or enter into any contract which by its terms involves the expenditure of money, for any purpose, in excess of the amounts appropriated for that general classification of expenditure pursuant to this act. Any contract, verbal or written, made in violation of this act shall be null and void. Any officer or employee of the city who shall violate this section shall be guilty of a misdemeanor and, upon conviction thereof, shall be punishable as in case of misdemeanor conviction and shall also cease to hold his office or employment. Nothing in this section contained, however, shall prevent the making of contracts or the spending of money for capital improvements to be financed in whole or in part by the issuance of bonds, nor the making of contracts of lease or for services for a period exceeding the budget year in which such contract is made, when such contract is permitted by law.

Sec. 6.06 (§45-37A-52.165) Fees shall be paid to city government.

All fees received by any officer or employee of the city, shall belong to the city government and shall be paid daily to the department of finance.

Sec. 6.07 (§45-37A-52.166) Division of purchases.

There shall be established in the department of finance a division of purchases, the head of which shall be the city purchasing agent. The purchasing agent, pursuant to rules and regulations established by resolution or ordinance, shall contract for, purchase, store and distribute all supplies, materials and equipment required by any office, department or agency of the city government. The purchasing agent shall also have power and shall be required to:

1. Establish and enforce specifications with respect to supplies, materials, and equipment required by the city government;

2. Inspect or supervise the inspection of all deliveries of supplies, materials and equipment, and determine their quality, quantity and conformance with specifications;

3. Have charge of such general storerooms and warehouses as the council may provide by resolution or ordinance;

4. Transfer to or between offices, departments or agencies, or sell surplus, obsolete, or unused supplies, material and equipment;

5. Perform such other duties as may be imposed upon him by resolution or ordinance.

Sec. 6.08 (§45-37A-52.167) Competitive bidding.

Before the purchasing agent makes any purchase of or contract for supplies, materials or equipment, he shall give ample opportunity for competitive bidding, under such rules and regulations, and with such exceptions, as the council may prescribe by resolution or ordinance, provided, however, that the council shall not except individual contracts, purchases, or sales from the requirement of competitive bidding.

Sec. 6.09 (§45-37A-52.168) Contracts for city improvements.

Any city improvement costing more than \$2,000 shall be executed by contract except where such improvement is authorized by the council to be executed directly by a city department in conformity with detailed plans, specifications and estimates. All such contracts for more than \$2,000 shall be awarded to the lowest responsible bidder after such public notice and competition as may be prescribed by resolution or ordinance, provided the mayor shall have the power to reject all bids and advertise again. Alterations in any contract may be made when authorized by the council upon the written recommendation of the mayor.

Sec. 6.10 (§45-37A-52.169) Accounting control of purchases.

All purchases made and contracts executed by the purchasing agent shall be pursuant to a written requisition from the head of the office, department or agency whose appropriation will be charged, and no contract or order shall be issued to any vendor unless and until the director of finance certifies that there is to the credit of such office, department or agency, a sufficient unencumbered appropriation balance to pay for the supplies, materials, equipment or contractual service for which the contract or order is to be issued.

Sec. 6.11 (§45-37A-52.170) Borrowing in anticipation of revenues.

In any budget year, in anticipation of the collection or receipt of revenues of the budget year, the council may by resolution authorize the borrowing of money by the issuance of negotiable notes of the city, each of which shall be designated "revenue note for the year 19 (stating the budget year)." Such notes may be renewed from time to time; but all such notes, together with the renewals thereof, shall mature and be paid not later than the end of the fiscal year after the budget year in which the original notes have been issued. Such borrowing shall be subject to any limitation on amount provided by statute.

Sec. 6.12 (§45-37A-52.171) Borrowing to meet emergency appropriations.

In the absence of unappropriated available revenues to meet emergency appropriations under the provisions of section 5.16, the council may by resolution authorize the issuance of notes, each of which shall be designated "emergency note" and may be renewed from time to time, but all such notes of any fiscal year and any renewals thereof shall be paid not later than the last day of the fiscal year next succeeding the budget year in which the emergency appropriation was made.

Sec. 6.13 (§45-37A-52.172) Notes redeemable prior to maturity.

No notes shall be made payable on demand, but any note may be made subject to redemption prior to maturity on such notice and at such time as may be stated in the note.

Sec. 6.14 (§45-37A-52.173) Sale of notes; report of sale.

All notes issued pursuant to this act may be sold at not less than par and accrued interest at private sale without previous advertisement.

ARTICLE VII Succession in Government

Sec. 7.01 (§45-37A-52.190) Rights of officers and employees preserved.

Nothing in this act contained, except as specifically provided, shall affect or impair the rights or privileges of officers or employees of the city or of any office, department or agency existing at the time when this act shall take effect, or any provision of law in force at the time when the mayor-council form of government shall be adopted and not inconsistent with the provisions of this act, in relation to the personnel, appointment, ranks, grades, tenure of office, promotion, removal, pension and retirement rights, civil rights or any other rights or privileges of officers or employees of the city or any office, department or agency thereof.

Sec. 7.02 (§45-37A-52.191) Continuance of present officers.

All persons holding administrative office at the time the mayor-council form of government is adopted shall continue in office and in the performance of their duties until provision shall have been made in accordance therewith for the performance of such duties or the discontinuance of such office. The powers conferred and the duties imposed upon any office, department or agency of the city by the laws of the state shall, if such office, department or agency, be abolished by this act, or under its authority, be thereafter exercised and discharged by the office, department or agency designated by the council unless otherwise provided herein.

Sec. 7.03 (§45-37A-52.192) Status of officers and employees holding positions when the mayor-council

form of government is adopted.

Any person holding an office or position in the classified service of the city under any civil service or merit system applicable to the city when the mayor-council form of government shall be adopted shall be continued as such officer or employee in the classified service of the city under the mayor-council form of government and with the same status, rights and privileges and subject to the same conditions under such applicable civil service or merit system as if the mayor-council form of government had not been adopted.

Sec. 7.04 (§45-37A-52.193) Transfer of records and property.

All records, property and equipment whatsoever of any office, department or agency or part thereof, all the powers and duties of which are assigned to any other office, department or agency by this act, shall be transferred and delivered to the office, department or agency to which such powers and duties are so assigned. If part of the powers and duties of any office, department or agency or part thereof are by this act assigned to another office, department or agency, all records, property and equipment relating exclusively thereto shall be transferred and delivered to the office, department or agency to which such powers and duties are so assigned.

Sec. 7.05 (§45-37A-52.194) Continuity of offices, departments or agencies.

Any office, department or agency provided for in this act with a name or with powers and duties the same or substantially the same as those of an office, department or agency heretofore existing shall be deemed to be a continuation of such office, department or agency and, until otherwise provided, shall exercise its powers and duties in continuation of their exercise by the office, department or agency by which the same were heretofore exercised and, until otherwise provided, shall have power to continue any business, proceeding or other matter within the scope of its regular powers and duties commenced by an office, department or agency by which such powers and duties were heretofore exercised. Any provision in any law, rule, regulation, contract, grant or other document relating to such a formerly existing office, department or agency, shall, so far as not inconsistent with the provisions of this act, apply to such office, department or agency provided for by this act.

Sec. 7.06 (§45-37A-52.195) Continuance of contracts and public improvements.

All contracts entered into by the city, or for its benefit, prior to the adoption by such city of the mayor-council form of government, shall continue in full force and effect. Public improvements for which legislative steps have been taken under laws existing at the time of the adoption of the mayor-council form of government may be carried to completion as nearly as practicable in accordance with the provisions of such existing laws.

Sec. 7.07 (§45-37A-52.196) Pending actions and proceedings.

No action or proceeding, civil or criminal, pending at the time of the adoption of the mayor-council form of government, brought by or against the city or any office, department or agency or officer thereof, shall be affected or abated by the adoption of the mayor-council form of government or by anything therein contained in this act; but all such actions or proceedings may be continued notwithstanding that functions, powers and duties of any office, department or agency or officer party thereto may by or under this act be assigned or transferred to another office, department or agency or office, but in that event the same may be prosecuted or defended by the head of the office, department or agency to which such functions, powers and duties have been assigned or transferred by or under this act.

Sec. 7.08 (§45-37A-52.197) Pension and relief funds.

All laws and parts of laws relating to pension, retirement and relief funds for policemen, firemen and other employees of the city, contained in the general or local laws of the

State or in Title 62 of the Code of Alabama, as amended, as the same may apply and be in effect with respect to any city at the time when such city shall elect to be governed by the provisions of this act, shall continue in full force and effect, and without interruption or change as to any rights which have been acquired thereunder, after adoption of the mayor-council form of government by such city.

Sec. 7.09 (§45-37A-52.198) Park, play ground and fairground authority.

All Except as expressly provided by this part, all laws and parts of laws relating to establishment of an authority for fairgrounds, parks, exhibits, exhibitions and other installations, facilities and places for the amusement, entertainment, recreation and cultural development of the citizens of a city, and for the powers, authority, mode of financing and conduct of the same, contained in the general or local laws of the State or in Title 62 of the Code of Alabama, as amended, as the same may apply and be in effect with respect to any city at the time when such city shall elect to be governed by the provisions of this act, shall continue in full force and effect, and without interruption or change as to the establishment or conduct of any authority created thereunder, after adoption of the mayor-council form of government by such city.

Sec. 7.10 (§45-37A-52.199) When provisions take effect.

For the purpose of nominating and electing members of the council and the mayor, the provisions of this act shall become applicable to any city adopting the mayor-council form of government upon the filing of the certificate of adoption by the judge of probate with the mayor or other chief executive office of the city as provided for in Section 1.06 hereof. For all other purposes the provisions of this act shall become applicable to said city at the time when the first council of such city elected under the provisions hereof takes office and qualifies.

Sec. 7.11 (§45-37A-52.200) Continuance of ordinances and resolutions.

All <u>To the extent consistent with the terms of this part, all</u> ordinances and resolutions of the city in effect at the time of adoption by the city of the mayor-council form of government herein set up shall continue in effect unless and until changed or repealed by the council. <u>To the extent any current or future ordinance or resolution of the city is inconsistent with the express terms of this part, the ordinance or resolution shall be void and of no effect to the extent of its inconsistency.</u>

ARTICLE VIII General Provisions

Sec. 8.01 (§45-37A-52.220) Removal of officers and employees.

Subject to the provisions of any civil service or merit system applicable to the city, any officer or employee to whom the mayor, or a head of any office, department or agency, may appoint a successor, may be removed by the mayor or other appointing officer at any time, and the decision of the mayor, or other appointing officer, shall be subject to appeals therefrom, if any, provided by applicable law.

Sec. 8.02 (§45-37A-52.221) Right of mayor and other officers in council.

The mayor, the heads of all departments, and such other officers of the city as may be designated by the council, shall be entitled to attend meetings of the council, but shall have no vote therein. The mayor shall have the right to take part in the discussion of all matters coming before the council, and the directors and other officers shall be entitled to take part in all discussions of the council relating to their respective offices, departments or agencies.

Sec. 8.03 (§45-37A-52.222) Investigations by council or mayor.

The council, the mayor, or any person or committee authorized by either of them, shall have power to inquire into the conduct of any office, department, agency or officer of the city and to make investigations as to municipal affairs, and for that purpose may subpoena witnesses, administer oaths, and compel the production of books, papers and other evidence. Failure to obey such subpoena or to produce books, papers or other evidence as ordered under the provisions of this section shall constitute a misdemeanor and shall be punishable by a fine not to exceed \$100 or by imprisonment not to exceed six (6) months, or both.

Sec. 8.04 (§45-37A-52.223) Contracts extending beyond one year.

No contract involving the payment of money out of the appropriation of more than one (1) year shall be made for a period of more than five (5) years, nor shall any such contract be valid unless made or approved by resolution or ordinance.

Sec. 8.05 (§45-37A-52.224) Publicity of records.

All records and accounts of every office, department or agency of the city shall be open to inspection by any citizen, any representative of a citizens' organization or any representative of the press at all reasonable times and under reasonable regulations established by the mayor, except records and documents the disclosure of which would tend to defeat the lawful purpose which they are intended to accomplish.

Sec. 8.06 (§45-37A-52.225) Officers and employees not to be privately interested in city's contracts.

No member of the council, officer or employee elected or appointed shall be interested, directly or indirectly, in any contract for work or material, or the profits thereof, or services to be furnished or performed for the city, and no such member of the council, officer or employee shall be interested, directly or indirectly, in any contract for work or material, or the profits thereof, or services to be furnished or performed for any person, firm or corporation operating interurban railway, street railway, gas works, electric light or power plant, heating plant, telegraph line or telephone exchange within the territorial limits of said city. No such member of the council, officer or employee shall be interested in or an employee or attorney of any corporation operating any public service utility within said city. No such member of the council, officer or employee shall accept or receive, directly or indirectly, from any person, firm or corporation operating within the territorial limits of said city any interurban railway, railway, street railway, gas works, water works, electric light or power plant, heating plant, telegraph line, or power plant, heating limits of said city any interurban railway, railway, street railway, gas works, water works, electric light or power plant, heating plant, telegraph line, or telephone exchange, or other business using or operating under a public franchise, any frank, free pass, free ticket or free service, or accept or receive, directly or indirectly,

from any such person, firm or corporation any gift or other thing of value, or any service upon terms more favorable than are granted to the public generally. Any violation of the provisions of this section shall be a misdemeanor, and upon conviction thereof, the guilty person shall be punished by a fine of not less than \$100 nor more than \$300, and may be imprisoned in the county jail for not more than 90 days. Every such contract or agreement shall be voidable by the mayor or the council. Such prohibition of free transportation shall not apply to policemen or firemen in uniform nor to policemen in the discharge of their duty; nor shall service to city officials in their official capacity heretofore provided by any franchise or ordinance be affected by this section.

Sec. 8.06.1 (§45-37A-52.225) Members of governing body not to be interested in or act as surety for contracts the expense of which is paid from the treasury of the city. (1) (a) Scope. This act [section] shall apply to every city of the State of Alabama having a population of 300,000 or more according to the last or any subsequent federal census.

(b) The words and terms hereinafter defined in this subsection (b) as used in this act [section] shall have the meanings ascribed to them herein unless a different meaning clearly appears from the context. The word "city" shall mean any city having a population of 300,000 or more according to the last or any subsequent federal census. The term "governing body" shall mean the governing body of any such city. The word "board" shall mean and include any committee, board or board of managers, trustees or directors which contains any one (1) or more of the following: (1) One (1) or more members appointed by the governing body; (2) one (1) or more members whose appointment is subject to the approval of the governing body; or (3) an officer or employee of the city who is an ex officio member of the committee or board.

(2) (a) No member of the governing body, or officer, or employee of the city shall be directly or indirectly interested in any work, business or contract, the expense, price or consideration of which is paid from the treasury of the city. No member of a board shall be directly or indirectly interested in any work, business or contract, the expense, price or consideration of which is paid from the funds over which the board has jurisdiction or which the board administers; and no member of the board shall be directly or indirectly interested in furnishing any work, materials, supplies, services or anything which is the subject of a contract made by the board.

(b) Membership on a board shall not result in its being unlawful for a member of the board to be interested in any work for or business or contract with the city, provided the said board does not authorize the said work, business or contract.

(3) It shall be unlawful for any member of the governing body or any officer of the city to be surety for any person having a contract, work or business with the city for the performance of which a surety may be required; and it shall be unlawful for the member of a board to be surety for any person having a contract, work or business with the board for performance of which a surety may be required. Membership on a board shall not result in its being unlawful for a member of the board to be a surety for persons having a contract, work or business with the city for performance of which a surety may be required, provided the board does not authorize the said contract, work or business.

(4) All laws and parts of laws, whether general, special or local, in conflict with the provisions of this act [section] are hereby repealed to the extent of any such conflict. Without limiting the generality of the foregoing repealing clause, it is hereby declared

that all laws which make unlawful any act which subsections (2) and (3) above declare shall not be unlawful are hereby repealed to the extent that they conflict with said sections (2) and (3).

(5) Any person who does any act declared unlawful by any of the foregoing sections of this act [section] shall be guilty of a misdemeanor; and upon conviction thereof, the guilty person shall be punished by a fine of not less than \$100 nor more than \$300 and may be imprisoned in the county jail for not more than 90 days. (Act No. 60, 1962, Secs. 1-5)

Editorial Note: Although similar in nature to section 8.06, Act No. 60, 1962, did not specifically amend this act; therefore, sections 1--5 have been included as section 8.06.1. In the event of conflict between the provisions of the section, the later provisions presumably apply. See also section 2-4-2 et seq. of the General City Code.

Sec. 8.07 (§45-37A-52.226) Official bonds.

The director of finance, and such other officers or employees as the council may by general ordinance require so to do, shall give bond in such amount and with such surety as may be approved by the council. The premiums on such bonds shall be paid by the city.

Sec. 8.08 (§45-37A-52.227) Oath of office.

Every officer of the city shall, before entering upon the duties of his office, take and subscribe to the following oath or affirmation, to be filed and kept in the office of the city clerk:

"I solemnly swear (of affirm) that I will support the Constitution and will obey the laws of the United States and of the State of Alabama, that I will, in all respects, observe the provisions of the ordinances of the city of ______ and will faithfully discharge the duties of the office of ______."

ARTICLE IX

Abandonment of Mayor-Council Form of Government

Sec. 9.01 (§45-37A-52.250) Abandonment of the mayor-council plan.

No city may change from the mayor-council form of government within two (2) years after the adoption thereof. At the end of such period, or at any time thereafter, the city may change its form of municipal government, either to:

(a) The form of municipal government applicable to the city prior to its adoption of the mayor-council form of government, or to

(b) The council-manager form of municipal government provided by Act Number 518 of the 1953 session of the Legislature of Alabama.

(c) One of the commission forms of municipal government provided by Title 37, Alabama Code of 1940, as amended and supplemented.

Sec. 9.02 (§45-37A-52.251) Petition for change of form of government.

Such change shall, however, first be initiated by petition and submitted to a vote of the qualified electors at an election and shall receive at such election a majority of the votes "yes" or in favor thereof in the same manner and subject to the same requirements as

provided in sections 1.02 to 1.05 of this act except that the proposition on the ballot shall be changed to reflect the proposed form of municipal government to be submitted to the vote of the qualified electors. The officers and members of the governing body of such newly adopted form of municipal government shall be elected as soon as may be under the provisions of law applicable thereto; and upon their election and qualification for office the term of office of all members of the council under the mayor-council form of government shall terminate.

Sec. 9.03 (§45-37A-52.252) No election on change more often than two years.

No election on the abandonment of the mayor-council form of government shall be held within two (2) years after any other election thereon.

ARTICLE X General Statutory Provisions

Sec. 10.01 (§45-37A-52.270) Effect of this act on existing law.

(a) All Except as otherwise provided by this part. all laws and parts of laws, general, local or special, relating to of affecting the city, its powers, functions, duties and property, in force when this act shall take effect, are hereby continued in effect; but all such laws relating to the exercise of powers, functions and duties by the commission or council-manager or some other form of government shall be superseded to the extent that the same are inconsistent with the provisions of this act.

Sec. 10.02 (§45-37A-52.271) Separability clause.

If any section or part of section of this act shall be held invalid by a court of competent jurisdiction such holding shall not affect the remainder of this act nor the context in which such section or part of section so held invalid may appear, except to the extent that an entire section or part of section may be inseparably connected in meaning and effect with the section or part of section to which such holding shall directly apply.

Sec. 10.03 (§45-37A-52.272) Short title.

This act shall be known and may be cited as the "Mayor-Council Act of 1955."